

The work of Koszul, III

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We keep the notation from the previous talk. However, we now write $\Gamma^*(\mathfrak{a})$ (instead of $\Gamma(\mathfrak{a}')$) to denote the exterior algebra of the dual of $\mathfrak{a}$, which is in duality with $\Gamma(\mathfrak{a})$ (the exterior algebra of $\mathfrak{a}$). We denote by $H^*(\mathfrak{a})$ (instead of $H(\mathfrak{a}')$) the *cohomology algebra* of $\mathfrak{a}$, and by $H^p(\mathfrak{a})$ the subspace of homogeneous elements of degree p of $H^*(\mathfrak{a})$. We keep the notation $H(\mathfrak{a})$ for the homology space of $\mathfrak{a}$, and $H_p(\mathfrak{a})$ for the subspace of elements of degree p of $H(\mathfrak{a})$. If $\mathfrak{a}$ is a *reductive* Lie algebra, then, as we have seen, $H(\mathfrak{a})$ is endowed with a *multiplicative structure*: we denote by $H_*(\mathfrak{a})$ the *homology algebra* of $\mathfrak{a}$ (i.e. the space $H(\mathfrak{a})$ endowed with this multiplicative structure).

Recall that a Lie algebra $\mathfrak{a}$ (over a field K) is said to be *reductive* if the representation $x \mapsto \theta(x)$ of $\mathfrak{a}$ in the endomorphism algebra of the vector space $\Gamma(\mathfrak{a})$ is completely reducible; such an algebra $\mathfrak{a}$ is the direct sum of a semi-simple Lie algebra and an abelian algebra, and the converse is true if K is of *characteristic* 0. More generally, let $\mathfrak{b}$ be a sub-algebra of a Lie algebra $\mathfrak{a}$; we say that $\mathfrak{b}$ is *reductive in* $\mathfrak{a}$ if the representation $x \mapsto \theta(x)$ of $\mathfrak{b}$ in the endomorphism algebra of $\Gamma(\mathfrak{a})$ is completely reducible; the $\mathfrak{b}$ is also reductive (i.e. reductive in itself).

If $\mathfrak{a}$ is a Lie algebra of a *compact* group (an algebra over the field of reals), then every sub-algebra of $\mathfrak{a}$ is reductive in $\mathfrak{a}$.

1 Relative chains and cochains

Let $\mathfrak{b}$ be a sub-algebra of a Lie algebra $\mathfrak{a}$; this gives a bijective homomorphism $\varphi: \Gamma(\mathfrak{b}) \rightarrow \Gamma(\mathfrak{a})$, and its transpose $\varphi^*: \Gamma^*(\mathfrak{a}) \rightarrow \Gamma^*(\mathfrak{b})$ (which is *onto*). A subspace of $\Gamma(\mathfrak{a})$ (resp. of $\Gamma^*(\mathfrak{a})$) is said

to be $\mathfrak{b}$ -stable if it is stable under the endomorphisms $\theta(x)$ (resp. $\theta^*(x)$) for all $x \in \mathfrak{b}$. We denote by $I(\mathfrak{a}, \mathfrak{b})$ the subspace of chains of $\mathfrak{a}$ that are *invariant under* $\mathfrak{b}$, that is, the $\alpha \in \Gamma(\mathfrak{a})$ such that $\theta(x) \cdot \alpha = 0$ for all $x \in \mathfrak{b}$. There is the analogous definition of the subspace $I^*(\mathfrak{a}, \mathfrak{b})$ of $\mathfrak{b}$ -invariant cochains of $\mathfrak{a}$.

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Let $N(\mathfrak{a}, \mathfrak{b})$ be the ideal of $\Gamma(\mathfrak{a})$ generated by $\varphi(\mathfrak{b})$; the subspace of $\Gamma^*(\mathfrak{a})$ that is orthogonal to $N(\mathfrak{a}, \mathfrak{b})$ is the *sub-algebra* $N^*(\mathfrak{a}, \mathfrak{b})$ generated by the unit and the degree 1 cochains that are orthogonal to $\mathfrak{b}$. We write $N^p(\mathfrak{a}, \mathfrak{b})$ to mean the subspace of degree p elements of $N^*(\mathfrak{a}, \mathfrak{b})$. The subspaces $N(\mathfrak{a}, \mathfrak{b})$ and $N^*(\mathfrak{a}, \mathfrak{b})$ are $\mathfrak{b}$ -stable.

We first study the particular case where $\mathfrak{b}$ is an *invariant* sub-algebra (an ideal of the Lie algebra $\mathfrak{a}$), that is, the case where $\mathfrak{b}$ is $\mathfrak{a}$ -stable, in which case we have the Lie algebra $\mathfrak{a}/\mathfrak{b}$. Then $N(\mathfrak{a}, \mathfrak{b})$ is *stable under* ∂ , and $\Gamma(\mathfrak{a})/N(\mathfrak{a}, \mathfrak{b})$, endowed with the operator induced by ∂ by passing to the quotient, can be identified with $\Gamma(\mathfrak{a}/\mathfrak{b})$; by duality, $N^*(\mathfrak{a}, \mathfrak{b})$ endowed with δ (under which it is stable) can be identified with $\Gamma^*(\mathfrak{a}/\mathfrak{b})$, and is contained inside $I^*(\mathfrak{a}, \mathfrak{b})$.

In the general case of an arbitrary sub-algebra $\mathfrak{b}$, the subspace $N(\mathfrak{a}, \mathfrak{b}) + \partial N(\mathfrak{a}, \mathfrak{b})$ is stable under ∂ , and is generated by $N(\mathfrak{a}, \mathfrak{b})$ and by the $\theta(x) \cdot \alpha$ (where $\alpha \in \Gamma(\mathfrak{a})$ and $x \in \mathfrak{b}$); then ∂ acts on the quotient $L(\mathfrak{a}, \mathfrak{b})$ of $\Gamma(\mathfrak{a})$ by $N(\mathfrak{a}, \mathfrak{b}) + \partial N(\mathfrak{a}, \mathfrak{b})$, and we call this quotient the *space of relative chains*. By duality, the intersection $N^*(\mathfrak{a}, \mathfrak{b}) \cap I^*(\mathfrak{a}, \mathfrak{b}) = L^*(\mathfrak{a}, \mathfrak{b})$ consists of the cochains α' of $N^*(\mathfrak{a}, \mathfrak{b})$ such that $\delta \alpha' \in N^*(\mathfrak{a}, \mathfrak{b})$; this is a *sub-algebra* of $\Gamma^*(\mathfrak{a})$, called the *algebra of relative cochains*.

Endowing $L(\mathfrak{a}, \mathfrak{b})$ with ∂ defines a *relative homology space*, denoted by $H(\mathfrak{a}, \mathfrak{b})$; it is graded. The algebra $L^*(\mathfrak{a}, \mathfrak{b})$ endowed with δ defines a *relative cohomology algebra*, denoted by $H^*(\mathfrak{a}, \mathfrak{b})$; this is a graded algebra. In the particular case where $\mathfrak{b}$ is an invariant sub-algebra of $\mathfrak{a}$, we can identify $H(\mathfrak{a}, \mathfrak{b})$ (resp. $H^*(\mathfrak{a}, \mathfrak{b})$) with $H(\mathfrak{a}/\mathfrak{b})$ (resp. $H^*(\mathfrak{a}/\mathfrak{b})$).

In the case where $\mathfrak{a}$ is the Lie algebra of a *compact connected group* G , and $\mathfrak{b}$ is the sub-algebra of a *closed subgroup* U , then $L^*(\mathfrak{a}, \mathfrak{b})$ can be identified with the algebra of exterior differential forms of the *homogeneous space* $W = G/U$ that are invariant under G , and $H^*(\mathfrak{a}, \mathfrak{b})$ is then the cohomology algebra of the (compact) topological space W . The following results (some of which are new) explain the relations between the cohomology algebras of the spaces G , U , and $W = G/U$. We note that G is the fibre bundle with fibre U and base W .

2 Canonical homomorphisms

We have

$$\begin{aligned} \Gamma(\mathfrak{b}) &\xrightarrow{\varphi} \Gamma(\mathfrak{a}) \xrightarrow{\pi} L(\mathfrak{a}, \mathfrak{b}) \\ L^*(\mathfrak{a}, \mathfrak{b}) &\xrightarrow{\pi^*} \Gamma^*(\mathfrak{a}) \xrightarrow{\varphi^*} \Gamma^*(\mathfrak{b}). \end{aligned}$$

These define

$$\begin{aligned} H(\mathfrak{b}) &\xrightarrow{\tilde{\varphi}} H(\mathfrak{a}) \xrightarrow{\tilde{\pi}} H(\mathfrak{a}, \mathfrak{b}) \\ H^*(\mathfrak{a}, \mathfrak{b}) &\xrightarrow{\tilde{\pi}^*} H^*(\mathfrak{a}) \xrightarrow{\tilde{\varphi}^*} H^*(\mathfrak{b}). \end{aligned}$$

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The homomorphisms π^* , φ^* , $\tilde{\pi}^*$, and $\tilde{\varphi}^*$ are *algebra homomorphisms*. The image of $\tilde{\varphi}$ is contained inside the kernel of $\tilde{\pi}$; the image of $\tilde{\varphi}^*$ is contained inside the kernel of $\tilde{\pi}^*$.

If $\mathfrak{a}$ is a *reductive* algebra, then the kernel of $H(\mathfrak{a}) \rightarrow H(\mathfrak{a}, \mathfrak{b})$ is a two-sided *ideal* of the algebra $H_*(\mathfrak{a})$. If, furthermore, K is of characteristic 0, then the Hopf theorem applies to $H^*(\mathfrak{a})$, which can be identified with the exterior algebra of the subspace of its *primitive* elements; then the image of $H^*(\mathfrak{a}, \mathfrak{b}) \rightarrow H^*(\mathfrak{a})$ is a sub-algebra of $H^*(\mathfrak{a})$ that is *generated by the unit and by the primitive elements* of $H^*(\mathfrak{a})$.

If $\mathfrak{b}$ is a *reductive sub-algebra* of $\mathfrak{a}$, then we have a homology algebra $H_*(\mathfrak{b})$, and the kernel of $H(\mathfrak{b}) \rightarrow H(\mathfrak{a})$ is a two-sided *ideal* of $H_*(\mathfrak{b})$. If, furthermore, K is of characteristic 0, then the image of $H^*(\mathfrak{a}) \rightarrow H^*(\mathfrak{b})$ is a sub-algebra of $H^*(\mathfrak{b})$ that is *generated by the unit and by the primitive elements* of $H^*(\mathfrak{b})$.

3 Poincaré duality for relative homology and cohomology

Let n (resp. m) be the dimension of $\mathfrak{a}$ (resp. $\mathfrak{b}$). Let ω be the image in $\Gamma(\mathfrak{a})$ of the m -dimensional chain of $\Gamma(\mathfrak{b})$ (defined up to a constant factor). Then $N(\mathfrak{a}, \mathfrak{b})$ is the kernel of the endomorphism $\alpha \mapsto \omega \wedge \alpha$ of $\Gamma(\mathfrak{a})$. Let $\tau \in \Gamma^{n-m}(\mathfrak{a})$ be such that $\omega \wedge \tau \neq 0$; then, in $\Gamma(\mathfrak{a})/N(\mathfrak{a}, \mathfrak{b})$, every element of degree $> (n - m)$ is zero, and the elements of degree $(n - m)$ are proportional to the class $\bar{\tau}$ of τ . So $\alpha' \mapsto i(\alpha') \cdot \tau$ defines an *isomorphism* from $N^*(\mathfrak{a}, \mathfrak{b})$ onto $\Gamma(\mathfrak{a})/N(\mathfrak{a}, \mathfrak{b})$ which depends only on $\bar{\tau}$.

From now on, suppose that $\mathfrak{a}$ and $\mathfrak{b}$ are *unimodular*; then $\bar{\tau}$ is a $\mathfrak{b}$ -invariant element of $\Gamma(\mathfrak{a})/N(\mathfrak{a}, \mathfrak{b})$, and so $\alpha' \mapsto i(\alpha') \cdot \bar{\tau}$ defines an isomorphism from $N^*(\mathfrak{a}, \mathfrak{b}) \cap I^*(\mathfrak{a}, \mathfrak{b}) = L^*(\mathfrak{a}, \mathfrak{b})$ onto the subspace of $\mathfrak{b}$ -invariant elements of $\Gamma(\mathfrak{a})/N(\mathfrak{a}, \mathfrak{b})$.

If, furthermore, $\mathfrak{b}$ is *reductive in* $\mathfrak{a}$, then this subspace can be identified with $L(\mathfrak{a}, \mathfrak{b}) = \Gamma(\mathfrak{a})/N(\mathfrak{a}, \mathfrak{b}) + \partial N(\mathfrak{a}, \mathfrak{b})$. This gives an isomorphism f from $L^*(\mathfrak{a}, \mathfrak{b})$ onto $L(\mathfrak{a}, \mathfrak{b})$. Furthermore,

$$\partial i(\alpha') \cdot \tau = i(\delta \bar{\alpha}') \cdot \tau + i(\bar{\alpha}') \cdot \partial \tau.$$

But the fact that $\mathfrak{b}$ is reductive in $\mathfrak{a}$ implies that $\partial \tau \in N + \partial N$, and since $i(\bar{\alpha}') \cdot (N + \partial N) \subset N + \partial N$, we have that $\partial f(\alpha') = f(\delta \bar{\alpha}')$. By passing to quotients, f thus defines an *isomorphism* from $H(\mathfrak{a}, \mathfrak{b})$ onto $H^*(\mathfrak{a}, \mathfrak{b})$ that sends degree p elements to degree $(n - m - p)$ elements. We thus deduce that the “relative” Betti numbers are equal for dimensions p and $(n - m - p)$ (“Poincaré duality”), and that *every non-zero ideal of $H^*(\mathfrak{a}, \mathfrak{b})$ contains $H^{n-m}(\mathfrak{a}, \mathfrak{b})$* .

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4 Sub-algebras that are not homologous to zero

From now on, we also suppose that the sub-algebra $\mathfrak{b}$ of $\mathfrak{a}$ is *reductive in* $\mathfrak{a}$. Let m be the dimension of $\mathfrak{b}$.

For $H(\mathfrak{b}) \xrightarrow{\tilde{\varphi}} H(\mathfrak{a})$ to be *bijective*, it *suffices* for $H_m(\mathfrak{b})$ (which consists of multiples of a single element) to not be contained in the kernel of $\tilde{\varphi}$ (since every two-sided ideal of $H_*(\mathfrak{b})$ that does not contain $H_m(\mathfrak{b})$ is zero). We then say that $\mathfrak{b}$ is *not homologous to zero in* $\mathfrak{a}$.

If $\mathfrak{b}$ is not homologous to zero in $\mathfrak{a}$, then $H^*(\mathfrak{a}, \mathfrak{b}) \rightarrow H^*(\mathfrak{a})$ is *bijective* (and the converse is also true). So, if $\mathfrak{a}$ is further assumed to be reductive, and the base field to be of characteristic 0, then $H^*(\mathfrak{a}, \mathfrak{b})$ has a subspace whose homogeneous components are of *odd degree*, and that can be identified with the *exterior algebra of this subspace*.

If $\mathfrak{b}$ is not homologous to zero in $\mathfrak{a}$, then $H^*(\mathfrak{a}) \rightarrow H^*(\mathfrak{b})$ is evidently *onto*. If, furthermore, the field is of characteristic 0, then we can define an isomorphism from the algebra $H^*(\mathfrak{b})$ to the algebra $H^*(\mathfrak{a})$, such that, by composing with the canonical map $H^*(\mathfrak{a}) \rightarrow H^*(\mathfrak{b})$, we obtain the identity automorphism of $H^*(\mathfrak{b})$. This map $H^*(\mathfrak{b}) \rightarrow H^*(\mathfrak{a})$, along with the canonical map $H^*(\mathfrak{a}, \mathfrak{b}) \rightarrow H^*(\mathfrak{a})$, define a *homomorphism of (graded) algebras*

$$H^*(\mathfrak{b}) \otimes H^*(\mathfrak{a}, \mathfrak{b}) \rightarrow H^*(\mathfrak{a}),$$

and we can show that this is an *onto isomorphism* (“Samelson’s theorem”).

The case of *sub-algebras that are homologous to zero* will not be examined here (see instead the Koszul's thesis: *Bull. Soc. Math. France* **78** (1950), 65–127).